



PRIORITY
DOCUMENT
SUBMITTED OR TRANSMITTED IN

COMPLIANCE WITH RULE 17.1(a) OR (b)

F1)

The Patent Office
Concept House
Cardiff Road
Newport
South Wales

REC'D 07 JUL 2000

NP10 800

I, the undersigned, being an officer duly authorised in accordance With Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

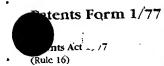
In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 27 June 2000



(See the notes on the back of this form. You can also get

an explanatory leaflet from the Patent Office to belp

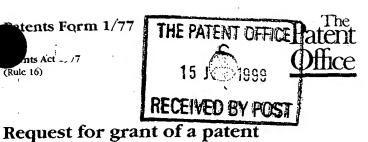
this request? (Answer 'Yes' if:

applicant, or

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an

c) any named applicant is a corporate body.



15JUN99 E454416-1 D01091. P01/7700 0.00 - 9913732.5

The Patent Office

15 JUN 1999

Cardiff Road Newport

ou ju in this form)		10	Gwent NP9 1RH	
1.	Your reference	AA 1464 GB		
2.	Patent application number (The Patent Office will fill in this part)	9913732.5		
3.	Full name, address and postcode of the or of each applicant (underline all surnames)  Patents ADP number (if you know it)	JOHNSON MATTHEY PUBLIC LIMITED CO 2-4 COCKSPUR STREET TRAFALGAR SQUARE LONDON SW1Y 5BQ	MPANY	
	If the applicant is a corporate body, give the country/state of its incorporation	GB 536262007		
<u>4</u> .	Title of the invention	IMPROVEMENTS IN EMISSIONS CONTRO	L	
5.	Name of your agent (if you bave one)  "Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)	IAN CARMICHAEL WISHART JOHNSON MATTHEY TECHNOLOGY CENTRE BLOUNTS COURT SONNING COMMON READING RG4 9NH		
	Patents ADP number (if you know it)	7258312001		
5.	If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country Priority application number (if you know it)	Date of filing (day / month / year)	
7.	If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application	Date of filing (day / month / year)	
3.4	Is a statement of inventorship and of right to grant of a patent required in support of	X		

YES

Patents r	Orm 1///			Contract of the Contract of th	
followin	ne number of sheets f y of the ng items you are filing with this form.			₹	
,	Continuation sheets of this form	0			
•	Description	5			
	Claim ()	1	WZ)		
	Claim(s)	1			
	Abstract	1			
_	Drawing(s)	1 - (			
	re also filing any of the following, w many against each item.				
	Priority documents				
	Translations of priority documents				
	Statement of inventorship and right to grant of a patent (Patents Form 7/77)				
	Request for preliminary examination and search (Patents Form 9/77)	ONE /			
	Request for substantive examination (Patents Form 10/77)				
	Any other documents (please specify)				
11.		I/We requ	est the grant of a patent on the	e basis of this application	
		Signature	Herrit	Date 14. Line 99	
			I C WISHART		
	nd daytime telephone number of to contact in the United Kingdom	MRS F E	STRANGE 0118 924 2125		
or commun will be info United Kin written per United Kin communica Notes	plication for a patent has been filed, the nication of the invention should be probotomed if it is necessary to prohibit or rest gdom, Section 23 of the Patents Act 1977 mission from the Patent Office unless an gdom for a patent for the same invention ation has been given, or any such direction	ibited or restr crict your inve stops you fro application on and either to ion has been to	icted under Section 22 of the ention in this way. Furthermoom applying for a patent abrobas been filed at least 6 week no direction prohibiting publicevoked.	Patents Act 1977. You ore, if you live in the ore ore without first getting is beforehand in the cication or	
	If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.				
,	Write your answers in capital letters using black ink or you may type them.  If there is not enough space for all the relevant details on any part of this form, please continue on a separate				
sheet o	is not enough space for all the relevant f paper and write "see continuation shee d to this form.	นะเฉนร on an t" in the relev	ant part(s). Any continuation	sheet should be	

d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.

e) Once you have filled in the form you must remember to sign and date it.

5

10

15

20

25

30

## **IMPROVEMENTS IN EMISSIONS CONTROL**

The present invention concerns improvements in emissions control. More especially, the invention concerns improvements in the control of particulates and NOx from diesel engines.

The use of emission control catalysts for engine exhaust clean-up is well established. Diesel engines have different characteristics from gasoline-fuelled engines, with a different mix of pollutants caused by the different fuels, the different combustion characteristics in each engine and the lower temperatures met with in exhausts from diesel engines. Additionally, diesel engines emit more noticeable particulates, especially under heavy load and upon start-up, than gasoline engines. In general, it can be said that diesel engines emit less NOx than a gasoline engine under most conditions, but because diesel engines mostly or exclusively operate on a high air to fuel ratio, that is are "lean"-burn engines, the chemistry of the exhaust gas does not favour NOx reduction by aftertreatement, because of the excess of oxidising species. There are engine design options available, which can reduce the quantities of NO<sub>x</sub> or of particulates but not both simultaneously.

To meet the various emission regulations already or about to enter force, it has become necessary to treat diesel exhausts in various ways. Oxidation catalysts, which catalyse the oxidation of unburnt hydrocarbons ("HCs") and carbon monoxide ("CO") are now regularly fitted to light duty diesels, and particulate traps of various types are becoming commonplace on heavy duty diesels as used in trucks, buses and some stationary engines. A technique for improving exhaust gas emissions, especially NOx emissions from diesel engines is exhaust gas recirculation ("EGR"), which takes a proportion of the exhaust gas and recirculates it into the engine cylinders. Generally, about 30 up to 75vol% of the exhaust gases are recirculated, depending upon the characteristics of the particular engine and the emission limits which must be met. Although EGR has been used with gasoline engines for many years, principally to improve fuel economy, it has only been more recently fitted to diesel engines; we believe that most diesel vehicles currently fitted with EGR are passenger car light duty diesel engines. In the case of engines fitted with a catalyst, the exhaust gas is always taken from upstream of the catalyst. It is generally expected that EGR would have a significant effect on emissions from heavy duty diesel engines, that is those

fitted to heavy trucks and buses. Because of the engineering problems caused by the very different exhaust characteristics compared to light duty diesel engines, however, this has proved difficult to achieve. In particular, there is currently no commercial source of an EGR valve of suitable size and materials to be fitted to a heavy duty diesel engine.

**5** .

10

15

We refer also to a device marketed as the "CRT<sup>TM</sup>" by Johnson Matthey PLC. This device is described in US Patent No 4,902,487 and is a continuously regenerative particulate trap. Unlike the vast majority of particulate traps, however, this device regenerates continuously or semi-continuously *in situ* without the need for periodic replacement or electrical heating to ignite the soot. Such device relies upon a catalyst system which generates NO<sub>2</sub> which we found is effective to cause low temperature combustion of trapped soot particles.

The principle of the CRT has been adopted by Hino in their published Japanese patent applications JP 8338320 and JP 9088727, in combination with EGR. However, such systems as described are not believed to be capable of use in true heavy duty diesel applications.

20

We have recently disclosed in WO 99/09307 a novel combination which can offer very low levels of NOx. That invention provides a diesel engine system comprising a diesel engine and an exhaust system therefor, characterised in that the exhaust system incorporates a catalyst effective to convert NO to NO<sub>2</sub> under normal operating conditions, a trap for particulates mounted downstream of the catalyst and an exhaust gas recirculation system mounted downstream of the trap, and provided with cooling means to cool the portion of exhaust gas which is recirculated.

It is noted that the gases for exhaust gas recirculation are taken downstream of the trap, thus benefitting from reduced particulate.

30

25

The present invention provides a modified EGR and catalyst system, comprising a diesel engine provided with an exhaust system, which exhaust system comprises an oxidation catalyst and an exhaust gas recirculation system, characterised in that the exhaust gas recirculation system is mounted downstream of the oxidation catalyst, and preferably

upstream of a trap for particulates, such that the portion of exhaust gases recirculated has passed through the oxidation catalyst.

Preferably, the oxidation catalyst is effective to oxidise at least a portion of NO in the exhaust gases to NO<sub>2</sub>, under typical conditions for said engine. More preferably, the catalyst is a high loading platinum catalyst carried on a metal or ceramic flow-through honeycomb catalyst support. Such a support may have from 50 to 800 cells/sq.in, preferably about 400cpsi. The catalyst may have a loading from 10 to 150 gm Pt/cu ft of catalyst, preferably 75 to 100g/cu ft, optionally in association with one or more other platinum group metals and/or one or more base metal catalysts or promoters, such as Ce, V, W or Zr.

5

10

15

20

25

30

The present invention also provides a process for the reduction of NOx and particulates in diesel engine exhaust gases, comprising, in order, converting at least a portion of the NO in the exhaust gases to NO<sub>2</sub> by passing the gases over a catalyst, recirculating a portion of the gases leaving the catalyst to the engine cylinders, and trapping at least the majority of carbonaceous particles in the remaining gases on a trap and continuously or semi-continuously oxidising said particles.

The exhaust gas recirculation may be carried out using essentially well established technology, using valves in the exhaust system and a control system. It is believed that the present invention may be operated most effectively at a lower recirculation ratio (eg 5 to 30% by vol preferably 12 to 20% by vol) than is normal. Although engine intake vacuum may provide adequate EGR, it may be preferable to provide pumping to provide a vacuum using a variable speed fan or pump operating under the control of the engine management unit.

Preferably, the EGR valve is mounted downstream, in the recirculation loop, of the cooler, whereby a proportion of the particulate is removed from the gases in the cooler. Since the recirculated gases are enriched with NO<sub>2</sub>, it is possible, depending upon gas temperatures, flow rates and resistence times, for a proportion of particulates to be wholly or partially combusted within the cooler or during flight.

It is to be realised that since only a portion of the exhaust gases is recycled, the system and process of the invention desirably include a particulate trap downstream of the EGR loop, such that all the gases fed to the exhaust outlet pipe are filtered. A preferred trap is an extruded ceramic, e.g. cordierite, wall flow filter. Other filters including metal mesh or metal or ceramic foams, may also be considered.

The present invention is believed to offer, in its preferred embodiments, certain unexpected advantages. The invention, because it does not depend upon a reduction catalyst reaching light-off temperature, is effective to reduce NOx at all engine operating temperatures. Additionally, traditional EGR systems suffer from wear and other degradation both of the EGR valves which are used to extract the recirculating portion of the exhaust gases, and on engine or exhaust components themselves. Such degradation may lead to expensive rebuilds and engine downtime, and a system that offers the potential for savings in this area has considerable economic value.

15

10

5

The portion of recirculated exhaust gases is desirably cooled before being admixed with combustion air for the engine. The combustion air is desirably at super-atmospheric pressure resulting from turbo-charger or supercharger, and it is well known to cool such combustion air to increase its density before intake into the cylinders.

20

Cooling may be achieved separately or when the recirculated gases and fresh combustion air are combined. Desirably a forced air cooler is used, although a liquid (e.g. water-) cooler may be used.

25

In accordance with the principles of the present invention, the skilled person may adapt the invention to different diesel engines and in different ways achieve the benefits of the invention.

305

The present invention is illustrated with reference to the accompanying schematic drawing of one embodiment of the invention.

A heavy duty diesel engine is generally indicated by 1. The engine exhaust manifold, 2, connects to a turbine, 3, and feeds into an exhaust system, 4. A catalyst element, 5a, and

a filter element, 5b, are mounted in a housing, 5. There is a pipe, 6, connected between the catalyst and filter elements, which can extract a portion of exhaust gas, according to the status of the exhaust flow valve described below. The portion of exhaust gas is passed to an exhaust gas cooler, generally indicated by 7, which is effective to reduce the temperature of the exhaust gas to the range 80 to 150°C. The exhaust gas cooler may be a liquid-cooled device, as shown in the drawing, or air cooled. The cooled gas then passes through an exhaust gas flow valve, 8, which is actuated under the control of an engine management unit (not shown). According to the position of the valve, exhaust gas is extracted through pipe 6 for recirculation. The engine management unit utilises conventional sensing to determine suitable load conditions for EGR operation, for example at idle and up to about half load conditions, including acceleration, but the use of EGR under full load conditions is not presently expected to be advantageous.

The exhaust gas is then blended with fresh air for combustion taken through an air intake, 9. Desirably an inter-cooler unit, 10, cools the combustion air and recycled exhaust gas to about 25 to 40°C before it is compressed by a turbocharger unit, 11, driven by a shaft from the turbine, 3. The charge of gas is then passed through the standard inter-cooler unit, 12, to cool the gas to about 35 to 60°C before it is fed to the engine.

## **CLAIMS**

20

' 30 - .

- A diesel engine provided with an exhaust system comprising an oxidation catalyst and
   an exhaust gas recirculation system ("EGR"), characterised in that the EGR system is mounted downstream of the oxidation catalyst, so that the portion of exhaust gases recirculated has passed through the oxidation catalyst.
- 2. A diesel engine system according to claim 1, wherein a trap for particulates is mounted downstream of the EGR system.
  - 3. A system according to claim 2, so arranged that all of the remainder of the exhaust gases not recirculated, passes through the particulate trap.
- 15 4. A system according to claim 2, wherein a trap for particulates is mounted in the EGR system.
  - 5. A system according to claim 2, 3 or 4, wherein the particulate trap is effective to trap at least 50% by wt of particulates in the exhaust gases.
  - 6. A system according to claim5, wherein the particulate trap comprises by-pass means such that blocking of the filter does not cause excessive back-pressure in the exhaust system.
- 7. A system according to any one of the preceding claims, wherein the recirculation ratio of the EGR system may be varied from 5 to 30% by volume.
  - 8. A system according to any one of the preceding claims, comprising a cooler for the recirculated gases, said cooler being mounted upstream of the EGR valve.
  - 9. A system according to claim1, substantially as hereinbefore described.

5

## <u>IMPROVEMENTS IN EMISSIONS CONTROL</u>

10

15

## **Abstract**

A diesel engine, 1, has an exhaust system, 4, and an oxidation catalyst, 5a. Exhaust gas for recirculation is taken through pipe, 6, downstream of the catalyst, and preferably upstream of a filter, 5b, for soot. The recirculated gases are passed through a cooler, 7, upstream of the EGR valve 8. Good removal of soot and  $NO_x$  is achieved even at low exhaust gas temperature.

(Sole Figure to be used)

